



## ROOT-CLASS RESIDUALITY OF SOME FREE CONSTRUCTIONS

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### Abstract

This is a survey of some recent results obtained on root-class residuality. First, we review and extend some properties of root-class residuality of generalized free products and HNN-extensions. Then conditions such that, by adjoining roots to a root-class residual group, the resulting group is again root-class residual, are derived. These results are extended to generalized free product of infinitely many groups amalgamating a common subgroup and also to multiple HNN-extensions. Further, they are applied to study root-class residuality of some one-relator groups.

### 1. Introduction

Let  $\mathcal{K}$  denote an abstract non-empty class of groups. Then  $\mathcal{K}$  is called a *root-class* if the following conditions are satisfied:

1.  $\mathcal{K}$  is closed under taking subgroups, i.e., if  $A \in \mathcal{K}$  and  $B \leq A$ , then  $B \in \mathcal{K}$ .

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2.  $\mathcal{K}$  is closed under taking direct products, i.e., if  $A \in \mathcal{K}$  and  $B \in \mathcal{K}$ , then  $A \times B \in \mathcal{K}$ .

3. If  $1 \leq C \leq B \leq A$  is a subnormal sequence and  $A/B, B/C \in \mathcal{K}$ , then there exists a normal subgroup  $D$  in group  $A$  such that  $D \leq C$  and  $A/D \in \mathcal{K}$ . See [6], for more details about root properties.

We recall that a group  $G$  is *root-class residual* (or  $\mathcal{K}$ -*residual*, for a root-class  $\mathcal{K}$ ) if, for every non-identity element  $g \in G$ , there exists a homomorphism  $\varphi$  from  $G$  to some group  $G'$  of root-class  $\mathcal{K}$  such that  $g\varphi \neq 1$ . Equivalently,  $G$  is  $\mathcal{K}$ -*residual* if, for every non-identity element  $g \in G$ , there exists a normal subgroup  $N$  of  $G$  such that  $G/N \in \mathcal{K}$  and  $g \notin N$ .

Famous examples of root-classes are the class of all finite groups, the class of all finite  $p$ -groups, the class of all soluble groups, the class of all finitely generated nilpotent groups. For these examples, root-class residuality is just residual finiteness, finite  $p$ -groups residuality, residual solvability, finitely generated nilpotent residuality, respectively. Thus, root-class residuality is more general. Residual finiteness, finite  $p$ -groups residuality, residual solvability are the most investigated residual properties of groups. See for example [2, 3, 14-16].

In this paper, we present some results on root-class residuality of generalized free products and HNN-extensions. In [1], some properties of root-class residuality of amalgamated free products were obtained. Analogous results for HNN-extensions were proved in [19]. Here, we review and extend these results. We first recall with proofs, root-class residuality of free groups and free products of root-class residual groups. Then sufficient conditions for root-class residuality of generalized free product  $G = (A * B; H = K, \varphi)$  of root-class residual groups  $A$  and  $B$  amalgamating subgroups  $H$  and  $K$  through the isomorphism  $\varphi$ , and for root-class residuality of HNN-extensions  $G = \langle A, t; t^{-1}ht = \varphi(h), h \in H \rangle$  with root-class residual base group  $A$  are derived; for some particular cases, necessary and sufficient conditions (criteria) are given. Further, conditions for adjoining roots to root-class residual groups to be root-class residual are stated. The results are extended to generalized free product of infinitely many groups amalgamating a common subgroup and also to multiple HNN-extensions. Finally, we apply these results to study root-class residuality of some one-relator groups.

## 2. Root-class Residuality of Free Groups and Free Products

In this section, we present root-class residuality of free groups and free products of root-class residual groups.

Let  $\mathcal{K}$  be a root-class of groups. The following properties are easily verified.

**Lemma.** *Let  $\mathcal{K}$  be a root-class of groups. Then:*

1. *If a group  $G$  has a subnormal sequence with factors belonging to class  $\mathcal{K}$ , then  $G \in \mathcal{K}$ .*

2. *If  $F \trianglelefteq G$ ,  $G/F \in \mathcal{K}$  and  $F \in \mathcal{K}$ , then group  $G \in \mathcal{K}$ .*

3. *If  $A \trianglelefteq G$ ,  $B \trianglelefteq G$ ,  $G/A \in \mathcal{K}$  and  $G/B \in \mathcal{K}$ , then  $G/(A \cap B) \in \mathcal{K}$ .*

Indeed, root-class is closed for extensions. This follows from the definition of root-class. So the first property of Lemma is satisfied. The second and third properties are easily verified by the definition of root-class.

In [6], Theorem 6.2, Gruenberg states that

Free product of root-class residual groups is root-class residual if and only if every free group is root-class residual.

However, it happens that the above given condition is necessary and sufficient for every root-class  $\mathcal{K}$ .

**Theorem 2.1.** *Every free group is  $\mathcal{K}$ -residual, for every root-class  $\mathcal{K}$ .*

**Proof.** We see that every root-class  $\mathcal{K}$  contains a non-trivial cyclic group (Property 1 of the definition of root-class). If  $\mathcal{K}$  contains an infinite cyclic group, then, by Lemma,  $\mathcal{K}$  contains any group possessing subnormal sequence with infinite cyclic factors; thus all finitely generated nilpotent torsion-free groups belong to class  $\mathcal{K}$ . Also, if  $\mathcal{K}$  contains a finite non-trivial cyclic group, then  $\mathcal{K}$  contains a group of prime order  $p$  and consequently, by Lemma,  $\mathcal{K}$  contains all groups possessing subnormal sequence with factors of order  $p$ ; hence all finite  $p$ -groups belong to  $\mathcal{K}$ . So any root-class contains all finitely generated nilpotent torsion-free groups or all finite  $p$ -groups for some prime  $p$ . But free groups are residually finitely generated nilpotent torsion-free ([13], p. 347) and also residually

$p$ -finite ([7], p. 121). Therefore, free groups are  $\mathcal{K}$ -residual, for every root-class  $\mathcal{K}$  and this ends the proof of Theorem 2.1.

Now, from the proof of Theorem 2.1 and the Gruenberg's result formulated above, Theorem 2.2 directly follows:

**Theorem 2.2.** *Free product of root-class residual groups is root-class residual.*

### 3. Root-class Residuality of Generalized Free Products

This section is focused on the study of root-class residuality of generalized free products.

We first give some useful properties of the construction of free product of groups with amalgamated subgroups.

Let  $A$  and  $B$  be two groups, each of which is given by the presentation:

$$A = \langle a_1, a_2, \dots, a_m; W \rangle,$$

$$B = \langle b_1, b_2, \dots, b_n; V \rangle.$$

Let also  $H$  and  $K$  be subgroups of group  $A$  and  $B$ , respectively, and let  $\varphi$  be an isomorphism of group  $H$  onto group  $K$ . Then by *free product of groups  $A$  and  $B$ , amalgamating subgroups  $H$  and  $K$  through the isomorphism  $\varphi$* , we mean the group denoted  $G = (A * B; H = K, \varphi)$ , which is given by the presentation

$$G = \langle a_1, a_2, \dots, a_m, b_1, b_2, \dots, b_n; W, V, h = h\varphi (h \in H) \rangle.$$

Thus, the set of generators of group  $G$  is the disjoint union of the sets of generators of groups  $A$  and  $B$ ; and the set of the defining relations of group  $G$  consists of the defining relations of groups  $A$  and  $B$  and every possible relation of the form  $h = h\varphi$ , where  $h$  is an element of  $H$  in the generators  $a_1, a_2, \dots, a_m$ , and  $h\varphi$  is an element of  $K$  in the generators  $b_1, b_2, \dots, b_n$ , which is the corresponding image by the mapping  $\varphi$  of  $h$ .

To point out the fact that groups  $A$  and  $B$  are identified with the indicated subgroups of group  $G$ , we denote this group by  $G = (A * B; H)$  and call it the

free product of groups  $A$  and  $B$  amalgamating subgroup  $H$  (considering that isomorphism  $\varphi$  is given).

A *reduced form* of an element  $g \in G$  is the representation of this element as product

$$g = x_1 x_2 \cdots x_s,$$

where components  $x_1, x_2, \dots, x_s$  belong, in turn, to subgroups  $A$  and  $B$ , and if  $s > 1$ , then any of these components does not belong to subgroup  $H$ .

In general, an element  $g$  of group  $G = (A * B; H)$  can have more than one reduced form. In this case, components of the same index lie in the same subgroup  $A$  or  $B$  and the number of components in these forms is the same. We call this number *the length of element  $g$*  and denote  $l(g)$ .

Thus if element  $g = x_1 x_2 \cdots x_s$  of group  $G = (A * B; H)$  is reduced and  $s > 1$ , then  $g \neq 1$ . If  $s = 1$ , then  $g \in A$  or  $g \in B$ .

From Theorem 2.2 and H. Neumann's theorem ([12], p. 212), the following result is easily established:

**Theorem 3.1.** *Let  $\mathcal{K}$  be a root-class. Then the generalized free product  $G = (A * B; H)$  of groups  $A$  and  $B$  amalgamating subgroup  $H$  is  $\mathcal{K}$ -residual if groups  $A$  and  $B$  are  $\mathcal{K}$ -residual and there exists a homomorphism  $\sigma$  from  $G$  to a group  $G'$  of root-class  $\mathcal{K}$ , such that  $\sigma$  is injective on  $H$ .*

**Proof.** Let  $\mathcal{K}$  be a root-class. Let  $G = (A * B; H)$  be the generalized free product of groups  $A$  and  $B$  amalgamating subgroup  $H$  and let groups  $A$  and  $B$  be  $\mathcal{K}$ -residual. Suppose there exists a homomorphism  $\sigma$  of  $G$  to a group of class  $\mathcal{K}$ , which is injective on  $H$ . Let  $N$  be the kernel of the homomorphism  $\sigma$ . Then  $G/N \in \mathcal{K}$  and  $N \cap H = 1$ . Now, by H. Neumann's Theorem ([12], p. 212)  $N$  is the free product of a free group  $F$  and some subgroups of group  $G$  of the form

$$g^{-1}Ag \cap N, \quad g^{-1}Bg \cap N, \tag{1}$$

where  $g \in G$ . The subgroups of the form (1) are  $\mathcal{K}$ -residuals since are groups  $A$  and  $B$ . By Theorem 2.1, free group  $F$  is also  $\mathcal{K}$ -residual. Thus  $N$  is a free product of

root-class residual groups. Therefore, by Theorem 2.2,  $N$  is root-class residual. Moreover, since  $G/N \in \mathcal{K}$ , by Property 2 of Lemma, it follows that group  $G$  is root-class residual. Theorem 3.1 is proven.

Remark that Theorem 2.2 can be considered as a particular case of Theorem 3.1. We also see that, if the amalgamated subgroup  $H$  is finite, then the formulated above sufficient condition of root-class residuality of group  $G$  will be as well necessary.

Another restriction permitting to obtain simple criteria of root-class residuality of generalized free product of groups  $A$  and  $B$  amalgamating subgroup  $H$  is the equality of the free factors  $A$  and  $B$ .

More precisely, let  $G$  be the generalized free product of groups  $A$  and  $B$  amalgamating subgroups  $H$  and  $K$  through the isomorphism  $\varphi$ . If  $A = B$ ,  $H = K$  and  $\varphi$  is the identity map, we denote group  $G$  by  $G = A \star_H A$ . This construction is sometimes called the *generalized free square of group  $A$  over subgroup  $H$*  (see [9]). Then for the generalized free square of group  $A$  over subgroup  $H$  we prove the following criterion:

**Theorem 3.2.** *Let  $\mathcal{K}$  be a root-class. The group  $G = A \star_H A$  is  $\mathcal{K}$ -residual if and only if group  $A$  is  $\mathcal{K}$ -residual and the subgroup  $H$  of  $A$  is  $\mathcal{K}$ -separable.*

We recall that subgroup  $H$  of a group  $A$  is *root-class separable* (or  $\mathcal{K}$ -separable, for a root-class  $\mathcal{K}$ ) if, for any element  $a$  of  $A$  and  $a \notin H$ , there exists a homomorphism  $\varphi$  from  $A$  to a group of root-class  $\mathcal{K}$  such that  $a\varphi \notin H\varphi$ . This means that, for each  $a \in A \setminus H$ , there exists a normal subgroup  $N$  of  $A$  such that  $A/N \in \mathcal{K}$  and  $a \notin NH$ .

Let us now prove Theorem 3.2.

**Proof.** Let  $\mathcal{K}$  be a root-class. Let  $G = A \star_H A$ . For any normal subgroup  $N$  of group  $A$  one can define the generalized free square

$$G_N = A/N \star_{HN/N} A/N$$

of group  $A/N$  over subgroup  $HN/N$  and the homomorphism  $\varepsilon_N : G \rightarrow G_N$ , extending the canonical homomorphism  $A \rightarrow A/N$ . It is evident that group  $G_N$  is

an extension of free group with group  $A/N$ . So, if  $A/N$  belongs to root-class  $\mathcal{K}$ , then by Lemma and Theorem 2.1,  $G_N$  is  $\mathcal{K}$ -residual. Thus, to prove that  $G$  is  $\mathcal{K}$ -residual, it is enough to show that  $G$  is residually a group of the form  $G_N$  such that  $A/N \in \mathcal{K}$ .

Suppose group  $A$  is  $\mathcal{K}$ -residual and subgroup  $H$  of  $A$  is  $\mathcal{K}$ -separable. Let  $g \in G$  such that  $g \neq 1$ . Also, let  $g = a_1 \cdots a_s$  be the reduced form of element  $g$ . Then two cases arise:

1.  $s > 1$ . In this case,  $a_i \in A \setminus H$  for all  $i = 1, \dots, s$ . From  $\mathcal{K}$ -separability of  $H$ , it follows that, for every  $i = 1, \dots, s$ , there exists a normal subgroup  $N_i$  of group  $A$  such that  $A/N_i \in \mathcal{K}$  and  $a_i \notin HN_i$ . Let  $N = N_1 \cap \cdots \cap N_s$ . By Lemma,  $A/N \in \mathcal{K}$  and, it is clear that, for all  $i = 1, \dots, s$ ,  $a_i \notin HN$ , i.e.,  $a_i N \notin HN/N$ . So, for all  $i = 1, \dots, s$ ,  $a_i \varepsilon_N \notin H \varepsilon_N$ . Therefore the form

$$g \varepsilon_N = a_1 \varepsilon_N \cdots a_s \varepsilon_N$$

is reduced and has length  $s > 1$ .

Consequently  $g \varepsilon_N \neq 1$ .

2.  $s = 1$ , i.e.,  $g \in A$ . As group  $A$  is  $\mathcal{K}$ -residual, there exists a normal subgroup  $N$  of  $A$  such that  $A/N \in \mathcal{K}$  and  $g \notin N$ , i.e.,  $gN \neq N$ . Hence  $g \varepsilon_N \neq 1$ .

Thus, in any case, for an element  $g \neq 1$  in group  $A$ , there exists a normal subgroup  $N$  such that  $A/N \in \mathcal{K}$  and the homomorphism  $\varepsilon_N : G \rightarrow G_N$  transforms  $g$  to a non-identity element. Hence group  $G$  is residually a group  $G_N$ , where  $A/N \in \mathcal{K}$ . Therefore  $G$  is  $\mathcal{K}$ -residual.

Conversely, suppose group  $G$  is  $\mathcal{K}$ -residual. Evidently this subgroup  $A$  has the same property. Let us prove that  $H$  be a  $\mathcal{K}$ -separable subgroup of group  $A$ . Let  $\gamma$  be an automorphism of group  $G$  canonically permuting the free factor. Let  $a \in A \setminus H$ . Then  $a\gamma \neq a$ . Since  $G$  is  $\mathcal{K}$ -residual, there exists a normal subgroup  $N$  of  $G$  such that  $G/N \in \mathcal{K}$  and  $aN \neq a\gamma N$ . Let  $M = N \cap N\gamma$ . Then

$$M\gamma = N\gamma \cap N\gamma^2 = N\gamma \cap N = M.$$

Consequently, in the quotient-group  $G/M$ , it is possible to consider the

automorphism  $\bar{\gamma}$ , induced by  $\gamma$ . Since  $aN \neq a\gamma N$  and  $M \leq N$ ,  $aM \neq a\gamma M$ . On the other hand,  $a\gamma M = (aM)\bar{\gamma}$ . Thus  $aM \neq (aM)\bar{\gamma}$ . Since  $\gamma$  acts identically on  $H$ ,  $\bar{\gamma}$  also acts identically on  $HM/M$ . So and since  $aM \neq (aM)\bar{\gamma}$ , it follows that  $aM \notin HM/M$ , i.e.,  $a\varepsilon \notin H\varepsilon$ , where  $\varepsilon$  is the canonical homomorphism of group  $G$  onto  $G/M$ . Consequently,  $G/M \in \mathcal{K}$  and the  $\mathcal{K}$ -separability of subgroup  $H$  of group  $A$  is demonstrated.

In [11], the above result is obtained for the particular case of the class of all finite  $p$ -groups.

We also remark that the necessary condition for Theorem 3.2 takes place even at more gentle restriction on class  $\mathcal{K}$ , namely when  $\mathcal{K}$  satisfies only properties 1 and 2 of the definition of root-class.

Further, the generalized free product of infinitely many groups amalgamating subgroup is introduced in [17]. Some results on residual properties of this construction are shown in [5]. We extend Theorems 3.1 and 3.2 above to generalized free products of every family  $(G_\lambda)_{\lambda \in \Lambda}$  of groups  $G_\lambda$  amalgamating a common subgroup  $H$  (Theorems 3.3 and 3.4).

Let  $(G_\lambda)_{\lambda \in \Lambda}$  be a family of groups, where the set  $\Lambda$  can be infinite. Let  $H_\lambda \leq G_\lambda$ , for every  $\lambda \in \Lambda$ . Suppose also that, for every  $\lambda, \mu \in \Lambda$ , there exists an isomorphism  $\varphi_{\lambda\mu} : H_\lambda \rightarrow H_\mu$  such that, for all  $\lambda, \mu, \nu \in \Lambda$ , the following conditions are satisfied:  $\varphi_{\lambda\lambda} = id_{H_\lambda}$ ,  $\varphi_{\lambda\mu}^{-1} = \varphi_{\mu\lambda}$ ,  $\varphi_{\lambda\mu}\varphi_{\mu\nu} = \varphi_{\lambda\nu}$ . Let now

$$G = \left( \star_{\lambda \in \Lambda} G_\lambda; h\varphi_{\lambda\mu} = h \quad (h \in H_\lambda, \lambda, \mu \in \Lambda) \right)$$

be the group generated by groups  $G_\lambda$  ( $\lambda \in \Lambda$ ) and defined by all the relators of these groups and moreover by all possible relations of the form  $h\varphi_{\lambda\mu} = h$ , where  $h \in H_\lambda$ ,  $\lambda, \mu \in \Lambda$ . Then it is evident that every  $G_\lambda$  can be canonically embedded in group  $G$  and if we consider  $G_\lambda \leq G$ , then for all different  $\lambda, \mu \in \Lambda$ ,

$$G_\lambda \cap G_\mu = H_\lambda = H_\mu.$$

Let us denote by  $H$  the subgroup of group  $G$  that equals to the common



subgroups  $H_\lambda$ . Then  $G$  is the *generalized free product of the family*  $(G_\lambda)_{\lambda \in \Lambda}$  of groups  $G_\lambda$  ( $\lambda \in \Lambda$ ) *amalgamating subgroup*  $H$ . We will consider, as well, that  $G_\lambda \leq G$ , for all  $\lambda \in \Lambda$ . See for example [5] or [17] for details about the generalized free product of a family of groups.

**Theorem 3.3.** *Let  $\mathcal{K}$  be a root class. The generalized free product  $G$  of the family  $(G_\lambda)_{\lambda \in \Lambda}$  of group  $G_\lambda$  amalgamating subgroup  $H$  is  $\mathcal{K}$ -residual if every group  $G_\lambda$  is  $\mathcal{K}$ -residual and there exists a homomorphism  $\sigma$  from  $G$  to a group  $G'$  of class  $\mathcal{K}$  such that  $\sigma$  is injective on  $H$ .*

**Proof.** The proof is the same as that of Theorem 3.1.

In fact, let group  $G_\lambda$  be  $\mathcal{K}$ -residual, for all  $\lambda \in \Lambda$ . Suppose there exists a homomorphism  $\sigma$  of  $G$  to a group of class  $\mathcal{K}$ , which is one-to-one on  $H$  and let  $N = \ker \sigma$ . Then  $G/N \in \mathcal{K}$  and  $N \cap H = 1$ . But  $N$  is the free product of a free group  $F$  and some subgroups of group  $G$  of the form

$$g^{-1}G_\lambda g \cap N,$$

(where  $g \in G$  and  $\lambda \in \Lambda$ ) which are root-class residuals. Since  $F$  is also root-class residual by Theorem 2.1,  $N$  is a free product of root-class residual groups. Thus, by Theorem 2.2,  $N$  is root-class residual. Moreover, since  $G/N \in \mathcal{K}$ , by property 2 of Lemma, it follows that group  $G$  is root-class residual and the theorem is proven.

Suppose now that, for all  $\lambda \in \Lambda$ ,  $G_\lambda = A$ . Then, in this case, the generalized free product of the family  $(G_\lambda)_{\lambda \in \Lambda}$  of groups  $G_\lambda$  amalgamating subgroup  $H$  is called the *generalized free power of group  $A$  over subgroup  $H$* . It is denoted by  $P$  and written as  $P = A \underset{H}{\star} \cdots \underset{H}{\star} A$ . For such group  $P$ , we have the following criterion:

**Theorem 3.4.** *Let  $\mathcal{K}$  be a root-class. The group  $P = A \underset{H}{\star} \cdots \underset{H}{\star} A$  is  $\mathcal{K}$ -residual if and only if group  $A$  is  $\mathcal{K}$ -residual and the subgroup  $H$  of  $A$  is  $\mathcal{K}$ -separable.*

The proof is similar to that of Theorem 3.2.

#### 4. Root-class Residuality of HNN-extensions

In this section, we study root-class residuality of HNN-extensions. Let us recall the construction of HNN-extensions.

Let  $A$  be a group,  $H$  and  $K$  two subgroups of group  $A$  and let  $\varphi : H \rightarrow K$  be an isomorphism. Then the *HNN-extension with base group  $A$ , stable letter  $t$  and associated subgroups  $H$  and  $K$*  denoted by

$$G = \langle A, t; t^{-1}ht = \varphi(h), h \in H \rangle$$

is the group generated by all the generators of the group  $A$  and one more element  $t$  and defined by all the relators of group  $A$  and all possible relations of form  $t^{-1}ht = \varphi(h)$ ,  $h \in H$ .

For this construction, every element  $g \in G$  can be written as

$$g = x_0 t^{\varepsilon_1} \cdots t^{\varepsilon_r} x_r, \quad (2)$$

where for any  $i = 0, 1, \dots, r$  element  $x_i$  belongs to the subgroup  $A$ ,  $\varepsilon_i = \pm 1$  and if  $r > 1$ , there is no consecutive subwords of type  $t^{-1}x_i t$  or  $tx_j t^{-1}$  with  $x_i \in H$  or  $x_j \in K$  in script (2).

Such form of element  $g$  is called *reduced* and  $r$  – its *length*.

By Britton's Lemma ([12], p. 181), if  $g = x_0 t^{\varepsilon_1} \cdots t^{\varepsilon_r} x_r$  is reduced and  $r \geq 1$ , then  $g \neq 1$  in group  $G$ .

The HNN-extension with base group  $A$ , stable letter  $t$  and associated subgroups  $H$  and  $K$  can also be denoted

$$G = \langle A, t; t^{-1}Ht = K, \varphi \rangle.$$

We prove:

**Theorem 4.1.** *The HNN-extension  $G = \langle A, t; t^{-1}Ht = K, \varphi \rangle$  is  $\mathcal{K}$ -residual for a given root-class  $\mathcal{K}$  if the base group  $A$  is  $\mathcal{K}$ -residual and there exists a homomorphism  $\sigma$  of  $G$  onto some group of root-class  $\mathcal{K}$  such that  $\sigma$  is one-to-one on  $H$ .*

We establish Theorem 4.1 from Theorem 2.2 and H. Neumann's Theorem ([12], p. 212):

**Proof.** Let  $\mathcal{K}$  be a root-class. Let  $G = \langle A, t; t^{-1}Ht = K, \varphi \rangle$  be the HNN-extension with base group  $A$ , stable letter  $t$  and associated subgroups  $H$  and  $K$  via  $\varphi$ . Assume that the group  $A$  is  $\mathcal{K}$ -residual. Suppose there exists a homomorphism  $\sigma$  of  $G$  onto some group of class  $\mathcal{K}$ , such that  $\sigma$  is one-to-one on  $H$ . Denote by  $N$  the kernel of the homomorphism  $\sigma$ . Then  $G/N \in \mathcal{K}$  and  $N \cap H = 1$ . By Neumann's Theorem ([12], p. 212) or by [8],  $N$  is the free product of a free group  $F$  and some subgroups of group  $G$  of the form

$$g^{-1}Ag \cap N, \quad (3)$$

where  $g \in G$ . Since group  $A$  is  $\mathcal{K}$ -residual, the subgroups of form (3) are also  $\mathcal{K}$ -residuals. Therefore  $N$  is  $\mathcal{K}$ -residual as a free product of  $\mathcal{K}$ -residual groups (Theorem 2.2), since free group  $F$  is  $\mathcal{K}$ -residual (Theorem 2.1). Moreover, since  $G/N \in \mathcal{K}$ , then by property 2 of Lemma, it follows that  $G$  is  $\mathcal{K}$ -residual and Theorem 4.1 is proven.

It is evident that if  $H = K = 1$  or if  $H$  is finite, then the above sufficient condition of root-class residuality of group  $G$  will be necessary as well.

Another restriction permitting to obtain criteria for root-class residuality of HNN-extension with base group  $A$ , stable letter  $t$  and associated subgroups  $H$  and  $K$  is the equality of the associated subgroups. We prove:

**Theorem 4.2.** *Let  $\mathcal{K}$  be a given root-class. Let  $G = \langle A, t; t^{-1}Ht = K, \varphi \rangle$  be the HNN-extension with base group  $A$ , stable letter  $t$  and associated subgroups  $H$  and  $K$  via  $\varphi$  such that  $H = K$  and  $\varphi$  is the identity map on  $H$ . Then  $G$  is  $\mathcal{K}$ -residual if and only if group  $A$  is  $\mathcal{K}$ -residual and subgroup  $H$  is  $\mathcal{K}$ -separable in  $A$ .*

**Proof.** So let  $\mathcal{K}$  be a root-class. Let  $G = \langle A, t; t^{-1}Ht = K, \varphi \rangle$  be the HNN-extension with base group  $A$ , stable letter  $t$  and associated subgroups  $H$  and  $K$  such that  $H = K$  and  $\varphi$  is the identity map on  $H$ . Then for any normal subgroup  $N$  of group  $A$ , one can define the HNN-extension

$$G_N = \langle A/N, t; t^{-1}HN/Nt = HN/N, \varphi_N \rangle,$$

where  $\varphi_N$  is the identity map on subgroup  $HN/N$  of group  $G_N$ , and the homomorphism  $\rho_N : G \rightarrow G_N$ , extending the canonical homomorphism  $A \rightarrow A/N$  and  $t \mapsto t$ . Consider the homomorphism  $\sigma : G_N \rightarrow A$  which is the identity map on  $A$  and which maps  $t \mapsto 1$ . Then  $\ker \sigma = \langle t \rangle^{G_N}$  is free by [12], (Theorem 6.6, p. 212). So  $G_N / \langle t \rangle^{G_N} \cong A/N$  and  $G_N$  is an extension of a free group by group  $A/N$ . Therefore, if  $A/N$  belongs to root-class  $\mathcal{K}$ , then  $G_N$  is  $\mathcal{K}$ -residual. Thus, to prove  $\mathcal{K}$ -residuality of  $G$ , it is enough to show that  $G$  is residually a group of kind  $G_N$ , where  $A/N \in \mathcal{K}$ .

Suppose the group  $A$  is  $\mathcal{K}$ -residual and the subgroup  $H$  is  $\mathcal{K}$ -separable in  $A$ . Let  $1 \neq g \in G$ . Assume that element  $g$  has a reduced form  $g = a_0 t^{\varepsilon_1} \cdots t^{\varepsilon_s} a_s$ . Two cases arise:

1.  $s \geq 1$ . In this case, for every  $i = 0, \dots, s$ ,  $a_i \in A$ ,  $\varepsilon_i = \pm 1$  and there are no consecutive sequences of type  $t^{-1}, a_i, t$  or  $t, a_j, t^{-1}$  with  $a_i, a_j \in H$ . From  $\mathcal{K}$ -separability of  $H$ , it follows that, for every  $i = 0, \dots, s$ , there exists a normal subgroup  $N_i$  of  $A$  such that  $A/N_i \in \mathcal{K}$  and  $a_i \notin HN_i$ . Thus, there will be no consecutive sequences of type  $t^{-1}, a_i N_i, t$  or  $t, a_j N_i, t^{-1}$  with  $a_i, a_j \in H$ . So let  $N = N_0 \cap \cdots \cap N_s$ . By Lemma,  $A/N \in \mathcal{K}$  and, it is clear that, for every  $i = 0, \dots, s$ ,  $a_i \notin HN$  and there is no consecutive subwords of type  $t^{-1}, a_i N, t$  or  $t, a_j N, t^{-1}$  with  $a_i, a_j \in H$ . Therefore the form

$$g\rho_N = a_0\rho_N t^{\varepsilon_1} \cdots t^{\varepsilon_s} a_s\rho_N$$

is reduced and has length  $s \geq 1$ . Consequently,  $g\rho_N \neq 1$ .

2.  $s = 0$ , i.e.,  $g \in A$ . Since  $A$  is  $\mathcal{K}$ -residual, there exists a normal subgroup  $N$  of  $A$  such that  $A/N \in \mathcal{K}$  and  $g \notin N$ , i.e.,  $gN \neq N$ . So  $g\rho_N \neq 1$ .

Hence, for any element  $g \neq 1$ , there exists a normal subgroup  $N$  in  $A$ , such that  $A/N \in \mathcal{K}$  and the homomorphism  $\rho_N : G \rightarrow G_N$  maps element  $g$  to a non-identity element. Consequently,  $G$  is residually a group  $G_N$ , where  $A/N \in \mathcal{K}$ . Therefore  $G$  is  $\mathcal{K}$ -residual.

Conversely, suppose  $G$  is  $\mathcal{K}$ -residual. Evidently, its subgroup  $A$  is  $\mathcal{K}$ -residual. It remains to show that  $H$  is  $\mathcal{K}$ -separable in group  $A$ . If  $H$  is not  $\mathcal{K}$ -separable in  $A$ , we choose element  $a \in A \setminus H$  such that  $a \in NH$ , for all normal subgroups  $N$  of  $A$ , where  $A/N \in \mathcal{K}$ . Let  $g = t^{-1}ata^{-1}$ . Then  $g$  has length greater than 1. By Britton's Lemma,  $g \neq 1$ . Let  $M$  be a normal subgroup of  $G$  with  $G/M \in \mathcal{K}$  and  $g \notin M$ , since  $G$  is  $\mathcal{K}$ -residual. So let  $R = M \cap A$ .  $R$  is a normal subgroup of  $A$  and furthermore  $A/R \in \mathcal{K}$ . Consequently the canonical homomorphism  $A \rightarrow A/R$  extends to an epimorphism  $\pi : G \rightarrow G_R$ , where  $G_R = \langle A/R, t; t^{-1}HR/Rt = HR/R, \varphi_R \rangle$ . Hence  $a \in RH$  by the choice of  $a$ . Thus, there exists  $h \in H$  such that  $\pi(a) = \bar{h}$ . Then  $\pi(g) = \pi(t^{-1}ata^{-1}) = t^{-1}\bar{h}t\bar{h}^{-1} = 1$ . Hence,  $g \in \text{Ker}(\pi) = \langle R \rangle^G \leq M$  and this is a contradiction.

**Remark 1.** We remark that this result generalizes for example Lemma 3.1 in [10], where analogous result is proven for the particular case of the class of all finite  $p$ -groups. We also see that, if  $A = H = K$ , then  $A$  is a normal subgroup of  $G$  and  $G/A \cong \langle t \rangle$ . Therefore  $G$  is an extension of a group of class  $\mathcal{K}$  by a free group; and thus is  $\mathcal{K}$ -residual. We remark also that, the necessary condition for Theorem 4.2 also holds when  $K$  satisfies only Properties 1 and 2 of the definition of root-class.

**Remark 2.** We further remark that Theorem 4.2 can be strengthened. Indeed, if we consider that the base group  $A$  is finitely generated and  $H = K$  via an isomorphism  $\varphi$ , where  $\varphi$  is induced by an automorphism of  $A$ , then the criterion of the Theorem 4.2 also holds.

Although HNN-extensions are basically defined with multiple stable letters and multiple associated subgroups, mostly HNN-extensions with only one stable letter have been studied. However Shirvani in [17] examined residual finiteness of HNN-extensions with multiple stable letters and associated subgroups (multiple HNN-extensions). We also study root-class residuality of multiple HNN-extensions. We will generalize Theorems 4.1 and 4.2 above to multiple HNN-extensions.

Let  $A$  be a group and  $I$  be an index set. Let  $H_i$  and  $K_i$ ,  $i \in I$  be families of subgroups of group  $A$  with  $(\varphi_i)_{i \in I}$  a family of maps such that  $\varphi_i : H_i \rightarrow K_i$  is an isomorphism. Then the HNN-extension with base group  $A$ , stable letters  $t_i$ ,  $i \in I$ ,

and associated subgroups  $H_i$  and  $K_i$ ,  $i \in I$ , denoted by

$$G = \langle A, t_i (i \in I); t_i^{-1}h_it_i = \varphi_i(h_i), h_i \in H_i \rangle$$

is the group generated by all the generators of  $A$  and elements  $t_i$ , ( $i \in I$ ) and defined by all the relators of  $A$  and all possible relations of form  $t_i^{-1}h_it_i = \varphi_i(h_i)$ ,  $h_i \in H_i$  for all  $i \in I$ .

The group  $G$  defined above will be called the *multiple HNN-extension* of base group  $A$ , stable letters  $t_i$ ,  $i \in I$ , and associated subgroups  $H_i$  and  $K_i$ ,  $i \in I$ .

In fact, let  $G_0 = A$  and

$$G_1 = \langle A, t_1; t_1^{-1}H_1t_1 = K_1, \varphi_1 \rangle;$$

we see that the double HNN-extension

$$G_2 = \langle A, t_1, t_2; t_1^{-1}H_1t_1 = K_1, t_2^{-1}H_2t_2 = K_2, \varphi_1, \varphi_2 \rangle$$

is the HNN-extension with base group  $G_1$ , stable letter  $t_2$ , and associated subgroups  $H_2$  and  $K_2$  via  $\varphi_2$ ; i.e.,

$$G_2 = \langle G_1, t_2; t_2^{-1}H_2t_2 = K_2, \varphi_2 \rangle.$$

Thus, for  $j$  of an index set  $I$ ,  $G_j$  is the HNN-extension with base group  $G_{j-1}$ , stable letter  $t_j$  and associated subgroups  $H_j$  and  $K_j$  via  $\varphi_j$ , i.e.,

$$\begin{aligned} G_j &= \langle A, t_1, \dots, t_j; t_1^{-1}H_1t_1 = K_1, \dots, t_j^{-1}H_jt_j = K_j, \varphi_1, \dots, \varphi_j \rangle \\ &= \langle G_{j-1}, t_j; t_j^{-1}H_jt_j = K_j, \varphi_j \rangle. \end{aligned}$$

For this construction, we have the following results.

**Theorem 4.3.** *Let  $\mathcal{K}$  be a root-class. For any index set  $I$ , the multiple HNN-extension*

$$G = \langle A, t_i (i \in I); t_i^{-1}h_it_i = \varphi_i(h_i), h_i \in H_i \rangle$$

with base group  $A$ , stable letters  $t_i$ , and associated subgroups  $H_i$  and  $K_i$  via  $\varphi_i$

( $i \in I$ ), is  $\mathcal{K}$ -residual if  $A$  is  $\mathcal{K}$ -residual and there exists a sequence  $(\sigma_i)_{i \in I}$  of homomorphisms of group  $G_i$  onto some group  $X_i$  of root-class  $\mathcal{K}$ , such that  $\sigma_i$  is one-to-one on subgroup  $H_i$  for all  $i \in I$ .

The proof is similar to the proof of Theorem 4.1.

For other criteria of root-class residuality of multiple HNN-extensions with base group  $A$ , stable letters  $t_i$  and associated subgroups  $H_i$  and  $K_i$  ( $i \in I$ ), we may assume the equality of the associated subgroups  $H_i$  and  $K_i$  for all  $i \in I$ .

So, suppose  $H_i = K_i$  and  $\varphi_i$  is the identity map on  $H_i$  for all  $i \in I$ . Then for such group we have the following criterion which generalizes Theorem 4.2 and the proof is just a repetition of it.

**Theorem 4.4.** *The multiple HNN-extension*

$$G = \langle A, t_i (i \in I); t_i^{-1} h_i t_i = \varphi_i(h_i), h_i \in H_i \rangle$$

with base group  $A$ , stable letters  $t_i$ ,  $i \in I$ , and associated subgroups  $H_i$  and  $K_i$  via  $\varphi_i$  such that  $H_i = K_i$  and  $\varphi_i$  is the identity map on  $H_i$  for all  $i \in I$ , is  $\mathcal{K}$ -residual if and only if  $A$  is  $\mathcal{K}$ -residual and subgroup  $H_i$  is  $\mathcal{K}$ -separable in  $G_i$  for all  $i \in I$ .

## 5. Adjoining Roots to Root-class Residual Groups

Let  $A$  be a group and  $a \in A$ . Let  $n$  be a non-negative integer. Then the group  $G = \langle A, x; a = x^n \rangle$  denoted by  $A \star_{a=x^n} \langle x \rangle$  is obtained by adjoining roots to group  $A$ .

Let  $A$  be a group of a root-class  $\mathcal{K}$ . By adjoining roots to group  $A$ , we need not to obtain a group of root-class  $\mathcal{K}$ . For this purpose, we have the following criteria.

**Theorem 5.1.** *Let  $A$  be a group with element  $a$  of infinite order. Let  $A$  be  $\mathcal{K}$ -residual for a root-class  $\mathcal{K}$  and for some given integer  $n > 1$  class  $\mathcal{K}$  contains the cycle of order  $n$ . Then group  $G = \langle A, x; a = x^n \rangle = A \star_{a=x^n} \langle x \rangle$  is  $\mathcal{K}$ -residual if and only if the infinite cycle  $\langle a \rangle$ , generated by element  $a$ , is  $\mathcal{K}$ -separable in  $A$ .*

**Proof.** Suppose that subgroup  $\langle a \rangle$  is not  $\mathcal{K}$ -separable in group  $A$ . Then there

exists an element  $g \in A \setminus \langle a \rangle$  such that  $g\varphi \in \langle a \rangle\varphi$ , for any homomorphism  $\varphi$  of group  $G$  onto a group of class  $\mathcal{K}$ . Since  $a = x^n$ ,  $g\varphi \in \langle x \rangle\varphi$  and thus  $[g, x]\varphi = 1$ . But element  $[g, x] = gxg^{-1}x^{-1}$  is reduced since  $n > 1$  and its length is greater than 1. Therefore  $[g, x] \neq 1$  and hence, group  $G$  is not  $\mathcal{K}$ -residual.

Conversely, let subgroup  $\langle a \rangle$  be  $\mathcal{K}$ -separable in group  $A$ . By Theorem 3.4, the normal closure  $A^G$  of subgroup  $A$  in group  $G$  is  $\mathcal{K}$ -residual, since it is the generalized free power of group  $A$  over subgroup  $\langle a \rangle$  with index  $I = \{1, \dots, n\}$ , i.e.,

$$A^G = A \star_{\langle a \rangle} \cdots \star_{\langle a \rangle} A \quad (n \text{ times}).$$

Since  $G/A^G = \langle x, x^n = 1 \rangle \in \mathcal{K}$ . Lemma in Section 2 implies now that  $G$  is  $\mathcal{K}$ -residual.

We can now apply this result to study root-class residuality of any group given by the presentation  $G_{mn} = \langle a, b; [a^m, b^n] = 1 \rangle$ , ( $m, n \geq 1$ ). Observe that

$$G_{mn} = \langle a \rangle \star_{a^m=x} H \star_{y=b^n} \langle b \rangle.$$

We have the following result.

**Theorem 5.2.** *Let  $\mathcal{K}$  be a root-class. Let  $G_{mn} = \langle a, b; [a^m, b^n] = 1 \rangle$ , where  $m, n \geq 1$ . Group  $G_{mn}$  is  $\mathcal{K}$ -residual if class  $\mathcal{K}$  contains cyclic subgroups of order  $m$  and  $n$ .*

**Proof.** Let  $\mathcal{K}$  be a root-class. Let  $m, n > 1$ . Assume that the cyclic subgroups of order  $m$  and  $n$  belong to  $\mathcal{K}$ . Let  $H = \langle x, y; [x, y] = 1 \rangle$  be the free abelian group of rank 2. Then clearly,  $H$  is  $\mathcal{K}$ -residual and its subgroups  $\langle x \rangle$  and  $\langle y \rangle$  are  $\mathcal{K}$ -separable.

Let  $A = H \star_{y=b^n} \langle b \rangle = \langle x, b; [x, b^n] = 1 \rangle$ . By Theorem 5.1,  $A$  is  $\mathcal{K}$ -residual.

We claim that  $\langle x \rangle$  is  $\mathcal{K}$ -separable in  $A$ . Indeed, one can easily verify that  $H = C_A(\langle x \rangle)$ , the centralizer of subgroup  $\langle x \rangle$  in group  $A$ . Therefore, if  $g \in A \setminus H$ ,



then  $[x, g] \neq 1$ ; so there exists a homomorphism  $\varphi$  of group  $A$  onto a group of class  $\mathcal{K}$  such that  $[x, g]\varphi \neq 1$ , i.e., in particular,  $g\varphi \notin \langle x \rangle\varphi$ .

Let now  $g \in H \setminus \langle x \rangle$ , i.e.,  $g = x^k y^l$ , where  $l \neq 0$ . Then  $g = x^k b^{nl}$ . Let  $\sigma : A \rightarrow \langle b \rangle$  such that  $x \mapsto 1$  and  $b \mapsto b$ . Then  $g\sigma = b^{nl} \neq 1$  and  $\langle x \rangle\sigma = 1$ . Let  $\sigma_0$  be a homomorphism of group  $\langle b \rangle$  onto a group of class  $\mathcal{K}$ . Then  $g\sigma\sigma_0 \neq 1$ . Hence, subgroup  $\langle x \rangle$  is  $\mathcal{K}$ -separable in  $A$ .

Then applying again Theorem 5.1, we show that group  $G_{mn} = \langle a \rangle \star_{a^m=x} A$  is  $\mathcal{K}$ -residual.

Now, if  $m = 1$  or  $n = 1$ , then  $G_{mn}$  is isomorphic to one of the groups  $A$  or  $H$  above and thus, is  $\mathcal{K}$ -residual.

**Remark 3.** We remark in summary that the converse of Theorem 5.2 is not true. For example, let  $\mathcal{K}$  be the class of all torsion-free groups; then  $G_{mn} \in \mathcal{K}$ , when cyclic subgroups of finite orders do not belong to  $\mathcal{K}$ . But there exists a partial converse which holds for some additional condition on class  $\mathcal{K}$ , namely if  $\mathcal{K}$  is closed under quotient groups.

In fact, suppose in addition that  $\mathcal{K}$  contains any quotient group of its group, i.e.,  $\mathcal{K}$  is closed under taking homomorphic images. Let  $G_{mn}$  be  $\mathcal{K}$ -residual. Assume for example, that the cyclic subgroup of order  $m$  does not belong to  $\mathcal{K}$ . Then there exists a prime divisor  $p$  of integer  $m$ , such that the cyclic subgroup of order  $p$  does not belong to  $\mathcal{K}$ . Further, it is evident that, every element  $x$  of a group  $X$  of a root-class  $\mathcal{K}$  has a finite order, relatively prime with  $p$ . Indeed, let  $|f|$  be the order of an element  $f$ . If  $|x| = \infty$ , then  $\langle x \rangle \in \mathcal{K}$ , and since  $\mathcal{K}$  is closed under quotient groups, the cyclic subgroup of order  $p$  would belong to  $\mathcal{K}$ . Hence,  $|x| < \infty$  and  $\gcd(|x|, p) = 1$ , since the cyclic subgroup of order  $p$  does not belong to  $\mathcal{K}$ . So let  $c = [a^{m/p}, b^n]$ . Obviously  $c \neq 1$ . Then there exists a homomorphism  $\varphi$  of group  $G_{mn}$  onto a group  $X$  of class  $\mathcal{K}$  such that  $c\varphi \neq 1$ . Let  $k = |(a^{m/p}\varphi)|$ . Then  $k < \infty$  and  $\gcd(k, p) = 1$ . Hence  $((a\varphi)^{m/p})^k = 1$  and this implies that

$$[((a\varphi)^{m/p})^k, b^n\varphi] = 1. \quad (\star)$$

On the other hand,

$$[((a\varphi)^{m/p})^p, b^n\varphi] = 1. \quad (\star\star)$$

Now, from  $(\star)$  and  $(\star\star)$  and since integers  $k$  and  $p$  are relatively primes, it follows that

$$c\varphi = [(a\varphi)^{m/p}, b^n\varphi] = 1$$

and this is a contradiction.

**Corollary.** *Any group  $G_{mn} = \langle a, b; [a^m, b^n] = 1 \rangle$ , where  $m, n \geq 1$  is residually a finite  $p$ -group if and only if integers  $m$  and  $n$  are  $p$ -numbers, for some prime  $p$ .*

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